	Substitute for form 1449A/PTO			Complete if Known			
INFOR	BAATIO	N DISC	OCUDE	Application No.	10/697,906		
INFORMATION DISCLOSURE				Filing Date	October 29, 2003		
STATEMENT BY APPLICANT			PLICANI	First Named Inventor	Albert K. Chin		
				Art Unit	Not Yet Known		
				Examiner Name	Not Yet Known		
Bheet.	1	of	3	Attorney Docket Number	23488-07961		

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era.	PARADES	Cite No. ¹	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	SDB	A1	US-3,870,048	03-11-1975	Yoon
		A2	US-4,181,123	01-01-1980	Crosby
		А3	US-4,291,707	09-29-1981	Heilman et al.
		A4	US-4,319,562	03-16-1982	Crosby
•		A5	US-4,765,341	08-23-1988	Mower et al.
		A6	US-4,991,578	02-12-1991	Cohen
		A7	US-4,991,578	01-12-1991	Cohen
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		A9	US-5,033,477	07-23-1991	Chin et al.
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		A11	US-5,129,394	07-14-1992	Mehra
		A12	US-5,246,014	09-21-1993	Williams et al.
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		A16	US-5,464,447	11-07-1995	Fogarty et al.
		A17	US-5,618,287	04-08-1997	Fogarty et al.
		A18	US-5,690,648	11-25-1997	Fogarty et al.
		A19	US-5,716,392	02-10-1998	Bourgeois et al.
		A20	US-5,728,148	03-17-1998	Bostrom et al.
		A21	US-5,755,764	05-26-1998	Schroeppel
		A22	US-5,827,216	10-27-1998	Igo et al.
		A23	US-5,897,586	04-27-1999	Molina
		A24	US-5,972,013	10-26-1999	Schmidt
	EPB	A25	US-6,102,046	08-15-2000	Weinstein et al.

Examiner Signature	ENSTE	Date Considered	9/	26/05
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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴Applicant is to place a check mark here if English language Translation is attached.

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INEO	DRA ATION	I DIS	CLOSURE	Application No.	10/697,906	
-				Filing Date	October 29, 2003	
SIAI	CIVICINII	ST A	PPLICANT	First Named Inventor	Albert K. Chin	
•				Art Unit	Not Yet Known	
				Examiner Name	Not Yet Known	
Sheet	2	of	3	Attorney Docket Number	23488-07961	

B 0	2 2004	щ	U.S. PATENT DOCUMENTS					
		Ē/	Document No.					
	xaminer nitial	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
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	1	A27	US-6,322,536	11-27-2001	Rosengart et al.			
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	**	A29	US-702,789	06-17-1902	Gibson			
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		Foreign Patent Document					
Examiner Initials*	Cite No.1	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T°		
EDB	Bl	EP 0095727A1	12-07-1983	Purdue Research Foundation			

		OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T⁰
P.J. de Feyter et al., "Permanent Cardiac Pacing with Sutureless Myocardial Electrodes: Experience in First One Hundred Patients," <i>PACE</i> , Vol 3, No. 2, March 1980, pp. 144-149.			
1	C2	S. Stewart, M.D., "Placement of the Sutureless Epicardial Pacemaker Lead by the Subxiphoid Approach," <i>The Annals of Thoracic Surgery</i> , Vol. 18, No. 3, September 1974, pp. 308-313.	
*	C3	L. Watkins, Jr., M.D. et al., "Implantation of the Automatic Defibrillator: The Subxiphoid Approach," <i>The Annals of Thoracic Surgery</i> , Vol. 34, No. 5, November 1982, pp. 515-520	·
SDB	C4	R. Broadman et al., "ICD Implantation via Thoracoscopy, "Mailslot" Thoracotomy and Subxiphoid Incision," <i>The Annals of Thoracic Surgery</i> , Vol. 57, No. 2, February 1994, pp. 475-476.	

Examiner Signature	Date Considered	9/26/05
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		Substitute	o for form 1449A/PT	О		Complete if Known	
- (INFORMATION DISCLOSURE L			OSLIBE	Application No.	10/697,906	
					Filing Date	October 29, 2003	
	SIA	FIAICIA	I DI APP	LICANI	First Named Inventor	Albert K. Chin	
					Art Unit	Not Yet Known	-
	PER	A			Examiner Name	Not Yet Known	
Of	Sheet	3	of	3	Attorney Docket Number	23488-07961	
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	SOB			rdioscopy," <i>J</i> .		nplantation Using Subxiphoid vsiol, Vol. 14, September 2003,	
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RADEMA Substitute for form 1449A/PTO		Complete if Known		
INFORMATION DISCLOSURE	Application No.	10/697,906		
STATEMENT BY APPLICANT	Filing Date	October 29, 2003		
STATEMENT BY APPLICANT	First Named Inventor	Albert K. Chin		
•	Art Unit	Not Yet Known		
•	Examiner Name	Not Yet Known		
heet 1 of 1	Attorney Docket Number	23488-07961		

	U.S. PATENT DOCUMENTS						
		Document No.					
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
EBB	Α	US-5,902,331	05-11-1999	Bonner et al.			
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	1	Foreign Patent Document			
Examiner Initials*	Cite No.1	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T⁵
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Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

	Complete if Known	
Application No.	10/697,906	
Filing Date	October 29, 2003	
First Named Inventor	Albert K. Chin	
Art Unit	Not Yet Known	
Examiner Name	Not Yet Known	
Attorney Docket Number	23488-07961	

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		Document No.				
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY		Name of Patentee or . Applicant of Cited Document	
EDB		US-5,433,198	07-18-1995	Desai		
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		Foreign Patent Document				
Examiner Initials*	Cite No.1	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		76
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	OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS				
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Substitute for form 1449A/PTO Complete if Known Application No. 10/697,906 INFORMATION DISCLOSURE Filing Date October 29, 2003 STATEMENT BY APPLICANT First Named Inventor Albert K. Chin Art Unit 3731 **Examiner Name** Not Yet Known Attorney Docket Number 23488-07961 Sheet

			U.S. PATE	NT DOCUMENTS
		Document No.		
Examiner Initials*	Cite No.	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
रविदर	A1	US-5,033,477	07-23-1991	Chin et al.
ſ	A2	US-5,391,156	02-21-1995	Hildwein et al.
	A3	US-6,010,531	01-04-2000	Donlon et al.
	A4	US-6,126,590	10-03-2000	Alferness, Clifton A.
	A5	US-6,463,332B1	10-08-2002	Aldrich, William N.
1	A6	US-6,612,978B2	09-02-2003	Lau et al.
X)	A7	US-6,689,048B2	02-10-2004	Vanden Hoek et al.
100h	A8	US-6,702,732B1	03-09-2004	Lau et al.

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Examiner Initials*	Cite No.1	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T [®]
(DB	B1	WO97/26831	07-31-1997	Chin, Albert K.	4
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	OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T [©]			

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Substitute for form 1449A/PTO Complete if Known Application No. 10/697,906 INFORMATION DISCLOSURE **Filing Date** October 29, 2003 STATEMENT BY APPLICANT First Named Inventor Albert K. Chin Art Unit 3731 **Examiner Name** Not Yet Known Attorney Docket Number 23488-07961 Sheet of 1

			U.S. PAT	ENT DOCUMENTS
		Document No.		
Examiner Initials*	Cite No.	Number – Kind Code ² (if known)	Date MM-YYYY	Name of Patentee or Applicant of Cited Document
2DB		5,569,183	12-1996	Kieturakis, Maciej J.
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		Foreign Patent Document			
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		OTHER REFERENCES NON-PATENT LITERATURE DOCUMENTS	
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 .			U.S. PATE	NT DOCUMENTS
-		Document No.		
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	A2	US-6,607,547	08-19-2003	Chin
	A3	US-6,569,082	05-27-2003	Chin
	A4	US 6,488,689	12-03-2002	Kaplan et al.
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	A7	US-6,237,605	05-29-2001	Vaska et al.
	A8	US-6,206,004	03-27-2001	Schmidt et al.
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	A10	US-6,095,968	08-01-2000	Snyders
	A11	US-6,085,754	07-11-2000	Alfemess et al.
	A12	US-6,080,174	06-27-2000	Dubrul et al.
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	A14	US-6,039,748	03-21-2000	Savage et al.
	A15	US-6,036,714	03-14-2000	Chin
	A16	US-6,030,406	02-29-2000	Davis et al.
	A17	US-5,972,010	10-26-1999	Taheri
	A18	US-5,957,880	09-28-1999	Igo et al.
	A19	US-5,957,835	09-28-1999	Anderson et al.
- 1 ·	A20	US-5,931,810	08-03-1999	Grabek
	A21	US-5,904,711	05-18-1999	Flom et al.
	22	US-5,900,433	05-04-1999	Igo et al.
	A23	US-5,860,997	01-19-1999	Bonutti •
N/	A24	US-5,810,878	09/22/1998	Burel et al.
(DB	A25	US-5,800,449	09/01/1998	Wales

Examiner Signature ENST	Date Considered	9/26/05
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	Substitute for	form 1449/	VPTO		Complete if Known	
INIEC	DMATIO	u Die	CLOSURE	Application No.	10/697,906	
				Filing Date	October 29, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Albert K. Chin	
				Art Unit	3731	
				Examiner Name	Not Yet Known	
Sheet	2	of	7	Attorney Docket Number	23488-07961	

			U.S. PAT	ENT DOCUMENTS
		Document No.		
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
SOB	A26	US-5,797,946	08-25-1998	Chin
1 .	A27	US-5,772,680	06-30-1998	Kieturakis et al.
1	A28	US-5,762,604	06.09.1998	Kieturakis
	A29	US-5,738,628	04-14-1998	Sierocuk et al.
	A30	US-5,730,756	03-24-1998	Kieturakis
	A31	US-5,725,492	03-10-1998	Igo et al.
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	A41	US-5,650,447	07-22-1997	Keefer et al.
	A42	US-5,634,895	06-03-1997	tgo et al.
	A43	US-5,613,947	03-25-1997	Chin
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	A45	US-5,607,441	03-04-1997	Sierocuk et al.
	A48	US-5,601,589	02-11-1997	Fogarty et al.
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	A48	US-5,591,192	01-07-1997	Privitera et al.
V	A49	US-5,569,292	10-29-1996	Scwemberger et al.
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	ORMATIO!			Filing Date	October 29, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Albert K. Chin	
				Art Unit	3731	
	•			Examiner Name	Not Yet Known	
Sheet	3	of	7	Attorney Docket Number	23488-07961	

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EDB	A51	US-5,551,947	09-03-1996	Kaali
}	A52	US-5,540,711	07-30-1996	Kieturakis et al.
	A53	US-5,514,153	05-07-1996	Bonutti
	A54	US-5,496,345	03-05-1996	Kieturakis et al.
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	A58	US-5,376,076 .	12-27-1994	Kaali
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W	A74	US-4,662,371	05-05-1987	Whipple et al.
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Examiner Signature Date Considered 9/26/05
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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WiPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴Applicant is to place a check mark here if English tanguage Translation is attached.

	Substitute for	form 1449A/F	то	Complete if Known		
INIEO	DRAKIO	N DICC	LOSURE	Application No.	10/697,906	
				Filing Date	October 29, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Albert K. Chin	
				Art Unit	3731	
				Examiner Name	Not Yet Known	
Sheet	4	of	7	Attorney Docket Number	23488-07961	

			U.S. PATI	ENT DOCUMENTS			
		Document No.					
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
EDB	A76	US-4,526,175	07-02-1985	Chin et al.			
1	A77	US-4,493,711	01-15-1985				
	A78	US-4,479,497	10-30-1984	Fogarty et al.			
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4DB	A96	US-207,932	09-10-1878	Alvord			

Examiner Signature	Enste	Date Considered	9/26/05	
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	Substitute for f	orm 1449/	VPTO	Complete if Known			
INEO	DRAKTION	I DIS	CLOSURE	Application No.	10/697,906		
				Filing Date	October 29, 2003		
STATEMENT BY APPLICANT				First Named Inventor	Albert K. Chin		
				Art Unit	3731		
				Examiner Name	Not Yet Known		
Sheet	5	of	7	Attorney Docket Number	23488-07961		

			FOREIGN PA	ATENT DOCUMENTS	
		Foreign Patent Document			
Examiner Initials*	Cite No.1	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	To
EDB	B1	DE 39 42 589	22.12.1989	Olympus Optical Co.	
1	B2	EP 0791330 A2	08/27/1997	Cardiothoracic Systems, Inc.	
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	B11	WO 99/13785	03-25-1999	Comedicus Incorporated	
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-47-	B15	WO 96/32882	10/24/1996	Heartport, Inc.	
35B	B16	WO 96/00038	01-04-1996	Cormedics Corp.	

		OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T⁰
508	C1	BARTOCCIONI, S., et al., Laparoscopic Harvesting of Right Gastroepiploic Artery for Coronary Artery Bypass Graft Performed Without Sternotomy [online], [retrieved on 10-5-1999] Retrieved from the internet <url:http: 2628<="" doc="" td="" www.ctsnet.org=""><td></td></url:http:>	
(1)	C2	BENETTI, Federico, et al., "Video Assisted Coronary Bypass Surgery", J Card Surgery, 1995, pp. 620-625	
5003	СЗ	BERNHARD, Victor M. et al., "Cardiovascular Endoscopy: Historical Perspectives", Endovascular Surgery, 1989 W.B.	

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	Substitute for	form 1449/	VPTO	Complete if Known		
INICO	DMATION	LDIC	CLOCUPE	Application No.	10/697,906	
			CLOSURE	Filing Date	October 29, 2003	
SIAI	EMENI	ST A	PPLICANT	First Named Inventor	Albert K. Chin	
				Art Unit	3731	
				Examiner Name	Not Yet Known	
Sheet	6	of	7	Attorney Docket Number	23488-07961	

		OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T⁰
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1	C4	CARPENTIER, A., "Technique d'implantation de pace-maker par une voie d'abord abdominale sous-xyphoidienne," La	
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	C18	PRAGER, Richard L., et al., "The Subxiphoid Approach to Pericardial Disease", The Annals of Thoracic Surgery, Vol. 34, No. 1, July 1982	
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SDB	C21	SANTOS, Gil H., et al., "The Subxiphoid Approach in the Treatment of Pericardial Effusion", Albert Einstein College of	

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PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

	Substitute for	form 1449	VPTO	Complete if Known			
INEO	DMATIO	N DIS	CLOSURE	Application No.	10/697,906		
			PLICANT	Filing Date	October 29, 2003		
SIA	EMENI	DT AI	PLICANT	First Named Inventor	Albert K. Chin		
				Art Unit	3731		
				Examiner Name	Not Yet Known		
Sheet	7	of	7	Attorney Docket Number	23488-07961		

xaminer Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
(P15)		Medicine, September 21, 1978, pp.467-470	
	C22	*Saphenous Vein Grafts Are No. 1. Period,* Atrium Medical Corporation advertisement, appearing in J. Thorac. Cardiovas. Surg., 82(6), 1981	
1	C23	SIMONSEN, Michael, Ph.D., 'Researchers Undaunted by Setbacks in the Angiogenesis Sector', American Health Consultants, Vol. 5, No. 5, May 1999	
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Examiner Signature Substitution	Date Considered	9/26/05
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Complete if Known Substitute for form 1449A/PTO Application No. 10/697,906 INFORMATION DISCLOSURE Filing Date October 29, 2003 STATEMENT BY APPLICANT First Named Inventor Albert K. Chin Art Unit 3731 **Examiner Name** Not Yet Known Sheet of Attorney Docket Number 23488-07961

			U.S. PAT	ENT DOCUMENTS
		Document No.		
Examiner Initials*	Cite No.	Number - Kind Code ² (if known)	Date MM-YYYY	Name of Patentee or Applicant of Cited Document
EDB	A1	US-5,669,927	09-1997	Boebel et al.
			 	
		 		

	FOREIGN PATENT DOCUMENTS					
		Foreign Patent Document				
Examiner Initials*	Cite No. ¹	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	τ°	

		OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	τ°
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Examiner Signature Support	Date Considered	9/26/05
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Substitute for form 1449A/PTO		Complete if Known
INFORMATION SISPLESSURE	Application No.	10/697,906
INFORMATION APPLICANT	Filing Date	October 29, 2003
STATEMENT BY APPLICANT	First Named Inventor	Albert K. Chin
(JUL 2 8 2005 🍎)	Art Unit	3731
	Examiner Name	Not Yet Known
sheet 1 Con The 1	Attorney Docket Number	23488-07961

		•	U.S. PATENT	DOCUMENTS
		Document No.		
Examiner Initials*	Cite No.	Number – Kind Code ² (if known)	Date MM-YYYY	Name of Patentee or Applicant of Cited Document
4DB		US-5,437,680 A	08-1995	Yoon
5DB		US2002/0035361 A1	03-2002	Houser et al.
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			FOREIGN PATENT	OCUMENTS	
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Examiner Initials*	Cite No.	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Τ°
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50B		International Search Report and Written Opinion, PCT/US04/00859, June 20, 2005.	

	Date Considered 9	126/05
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	Substitute for	form 1449/	VPTO		Complete if Known	
INEO	DRAKTIO	M DIS	CLOSUBE	Application No.	10/697,906	
CTAT	RIVIA I IUI Ferrent	DA VI	CLOSURE	Filing Date First Named Inventor	October 29, 2003	
· SIA	ICIAICIAI	DI A	PENDANI V	First Named Inventor	Albert K. Chin	
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		Document No.		
Examiner Initials*	Cite No.	Number – Kind Code ² (if known)	Date MM-YYYY	Name of Patentee or Applicant of Cited Document
4DB	A1	US-2002/0173622 A1	11-21-2002	Wettstein et al.
508	A2	US-2002/0177207 A1	11-28-2002	Sugiyama et al.
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		Foreign Patent Document					
Examiner Initials*	Cite No.1	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	τ°		
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EDB	C1	International Search Report and Written Opinion, PCT/US04/00760, July 6, 2005.	
403	C2	Myers, E. L. et al., "Tsg101, an Inactive Homologue of Ubiquitin Ligase E2, Interacts Specifically With Human Immunodeficiency Virus Type 2 Gag Polyprotein and Results in Increased Levels of Ubiquinated Gag," J. Virol, November 2002, Vol. 76, No. 22.	

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